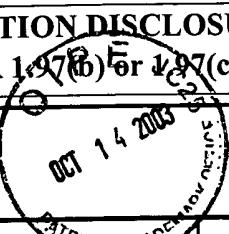


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
UCT-0039

In Re Application Of: **WELLER, et al.**



Serial No.  
10/656,868

Filing Date  
September 4, 2003

Examiner

Group Art Unit

Title: **VIRAL RECOMBINASES, RELATED ARTICLES, AND METHODS OF USE THEREOF**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

- the statement specified in 37 CFR 1.97(e);

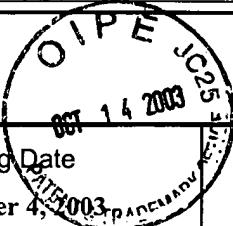
**OR**

- the fee set forth in 37 CFR 1.17(p).

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Serial No.

10/656,868

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Examiner

Group Art Unit

**VIRAL RECOMBINASES, RELATED ARTICLES, AND METHODS OF USE THEREOF**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Applicant(s): WELLER et al.

Docket No.

UCT-0039

Serial No.  
10/656,868Filing Date  
September 4, 2003

Examiner

Group Art Unit

Invention: **ENTAL RECOMBINASES, RELATED ARTICLES, AND METHODS OF USE THEREOF**I hereby certify that this **Information Disclosure Statement***(Identify type of correspondence)*

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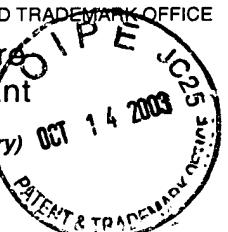
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on **October 10, 2003**  
*(Date)***Marjorie R. Humes***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**

Transmittal of Information Disclosure Statement (2 pages)

Form 1449 (5 pages)

Cited Art (8 foreign patents; 44 articles)

Postcard Receipt

Form PTO-1449 (Modified)  Information Disclosure Statement by Applicant  (37 cfr 1.98(b))	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <i>(Use several sheets if necessary)</i>  	ATTY. DOCKET NO. UCT-0039	SERIAL NO. 10/656,868
		APPLICANT WELLER et al.	
		FILING DATE September 4, 2003	GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,736,866	04/12/1988	Leder et al.			
	4,853,230	08/01/1989	Lovgren et al.			
	4,873,191	10/10/1989	Wagner et al.			
	5,422,120	06/06/1995	Kim			
	5,714,353	02/03/1998	Pathak et al.			
	5,741,486	04/21/1998	Pathak et al.			

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLICATION DATE*	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	EP 0 225 189 B1	07.10.92	European				
	EP 0 465 529 B1	29.04.98	European				
	EP 0 524 968 B1	07.06.95	European				
	EP 0 827 545 B1	27.02.02	European				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.\*\*)

		Altschul et al., (1990), "Basic Local Alignment Search Tool", <i>J. Mol. Biol.</i> , Vol. 215, 403-410.
		Altschul et al., (1997), "Gapped BLAST and PSI-BLAST: a new generation of protein database search programs", <i>Nucleic Acids Res.</i> , Vol. 25, No. 17, 3389-3402.
		Better et al. (1988), "Escherichia coli Secretion of an Active Chimeric Antibody Fragment"; <i>Science</i> , Vol. 240, 1041-1043.
		Bitter et al. (1987), "[33] Expression and Secretion Vectors of Yeast", <i>Methods in Enzymology</i> , Vol. 153, 516-544.

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])

\* Use Publication date indicated on the patent or published application. 37 CFR 1.98(b).

\*\* The place or publication refers to the name of the journal, magazine or other publication in which the article was published....\* Notice of January 9, 1992, 1135 O.G. 13 to 25, at 20.

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(37 cfr 1.98(b))				FILING DATE <b>September 4, 2003</b>	GROUP

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,945,339	08/31/1999	Holloman et al.			
		6,096,534	08/01/2000	Barsov et al.			
		6,140,111	10/31/2000	Riviere et al.			
		6,190,907	02/20/2001	Kim et al.			
		6,355,412	03/12/2002	Stewart et al.			

**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

		DOCUMENT NUMBER	PUBLICATION DATE*	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		WO 91/16024	31.10.91	World				
		WO 91/16116	31.10.91	World				
		WO 91/17424	14.11.91	World				
		WO 95/13796	26.05.95	World				

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			Bradley et al., (1986), "Embryo-Derived Stem Cells: A Tool for Elucidating the Developmental Genetics of the Mouse", <i>Current Topics in Dev. Biol.</i> , Vol. 20, 357-371.
			Copeland, et al., (2001), "Recombineering: A Powerful New Tool For Mouse Functional Genomics", <i>Nat. Rev. Genet.</i> , Vol. 2, 769-779.
			Doetschman et al., (1985), "The <i>in vitro</i> development of blastocyst-derived embryonic stem cell lines: formation of visceral yolk sac, blood islands and myocardium, <i>J. Embryol. Exp. Mol. Biol.</i> , Vol. 87, 27-45.
			Findeis et al., (1993), "Targeted delivery of DNA for gene therapy via receptors", <i>Trends in Biotechnol.</i> , Vol. 11, 202-205.

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			<b>FILING DATE</b> September 4, 2003	<b>GROUP</b>		
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.**)</b>						
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			NCBI Sequence, Accession M20165; <a href="http://www.ncbi.nlm.nih.gov">www.ncbi.nlm.nih.gov</a> ; printed 8/15/2002.			
			NCBI Sequence, Accession NP_044613; <a href="http://www.ncbi.nlm.nih.gov">www.ncbi.nlm.nih.gov</a> ; printed 8/15/2002.			
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